



Financial Architecture.

Stability in an algorithm-driven market.

Speed without architectural discipline is risk

Speed is a prerequisite on Wall Street, but accuracy is the standard. W69 implements AI architecture for Private Equity and Banking where risk management is at the core. We enable your analysts with systems that correlate real-time market data with internal portfolio intelligence. But models drift, hallucinate, and the regulator is watching. Without architectural discipline, your speed advantage becomes your greatest risk.

“You don’t merely manage a portfolio; you safeguard the algorithmic integrity for the next generation of your capital.”

We don’t build for today’s volatility, but for tomorrow’s solvency. Your capital deserves an architecture that stands the test of time.

Algorithmic Governance

Strict guardrails against hallucinations and model drift.

Transaction Logic

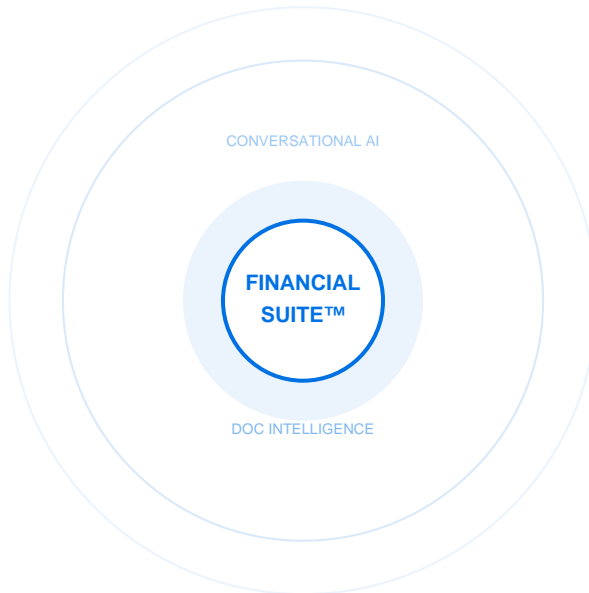
Automated screening and risk scoring for M&A; trajectories.

Compliance-by-Design

Seamless integration with reporting obligations and regulators.

The Financial Suite™

How W69 connects AI components into one financial architecture.



- **Risk Engine**
Real-time risk analysis and model monitoring with automated alerts for deviations.
- **Compliance**
Seamless integration with regulators, AML/KYC regulations and reporting obligations.
- **Portfolio AI**
Intelligent portfolio analysis with market data correlation and predictive modeling.
- **Reporting**
Automated reports for board presentations, quarterly figures and risk assessments.
- **Audit Trail**
Complete traceability of every AI decision for compliance and accountability.

Cross-cutting capabilities: Document Intelligence and Conversational AI are integrated into all components of the Financial Suite™.

From documents to decision-making

AI that understands, analyzes and transforms your financial document flow into actionable insights.

1 Contract & Prospectus Analysis

Automatic extraction of key terms from investment agreements, prospectuses and fund structures. The system identifies risk clauses, compares terms with market standards and generates structured overviews for your deal team.

2 Compliance Document Screening

Real-time screening of regulatory documents against AML, KYC and EU regulations with complete audit trail. Every document is tested against current compliance requirements with automatic flagging of deviations.

3 Reporting Automation

Generation of quarterly reports, risk assessments and board presentations based on source data. From raw data to boardroom-ready documents in a fraction of the time.

Intelligent client interaction for financial services

AI-driven conversations that guide clients, support advisors and ensure compliance.

1 Client Onboarding Assistant

Automated KYC intake and client identification through natural conversations with real-time compliance checks. From first contact to complete onboarding, guided by AI that documents and validates every step.

2 Portfolio Advisory Bot

AI assistant that gives clients insight into their portfolio, explains market trends and answers investment questions. Always available, always compliant, always consistent in quality.

3 Internal Knowledge Assistant

Search complex regulations, fund structures and internal procedures via conversational interface. Your analysts find answers in seconds instead of hours, with direct source references.

From passive tools to autonomous intelligence

Static AI models wait for a prompt. W69's **Agentic Systems** take the initiative. We design architectures where AI agents independently plan tasks, consult sources, control tools and validate results.

1 Reasoning Engines

Our agents use advanced Chain-of-Thought protocols. They break down complex assignments into sub-tasks and execute them step by step — from M&A; research to compliance audits.

2 Tool Augmentation

We connect AI to your existing enterprise stack. Whether it's Bloomberg, SAP or specialized databases; our agents navigate safely through your data ecosystem.

3 Human-in-the-Loop

For critical decisions, the system escalates to your professionals. No autonomy without accountability — every decision is traceable and verifiable.

4 Continuous Learning

The architecture evolves with your organization. Feedback loops refine the models, while governance guardrails ensure quality.

Your AI Readiness Score

The Navigator™ Assessment provides an initial indication of your organization's AI maturity. Scores range from 10 to 50, divided into three maturity levels.

Exploration

10 – 20

AI is in an early experimental phase. Individual experiments, few organization-wide guidelines, fragmented tools.

Integration

20 – 35

AI is already applied in specific workflows. Concrete use cases, partial integration, growing team adoption, governance in development.

Strategic AI

35 – 50

AI is a structural part of the organization. Organization-wide adoption, integrated AI architecture, clear governance, AI-supported decision-making.

Start your Navigator™ Assessment

Discover your organization's AI maturity in 5 minutes.

www.w69.ai/sectors/finance.html

W69 PROOF™



Every system W69 delivers carries the W69 Proof™ seal — your guarantee for architecture, governance and compliance.

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W69 AI Consultancy

Agentic AI Architecture · Governance · Transformation

www.w69.ai · Amsterdam